

# Agenda

## REGULATORY AND AUDIT COMMITTEE

**Date:** Wednesday 15 April 2015  
**Time:** 9.00 am  
**Venue:** Mezzanine Room 2, County Hall, Aylesbury

Agenda Item	Time	Page No
1 <b>APOLOGIES FOR ABSENCE / CHANGES IN MEMBERSHIP</b>		
2 <b>DECLARATIONS OF INTEREST</b> To disclose any Personal or Disclosable Pecuniary Interests		
3 <b>MINUTES</b> of the meeting held on 28 January 2015 to be confirmed as a correct record.		3 - 12
4 <b>WHISTLE-BLOWING</b> Anne Nichols, Senior Human Resources Officer.		13 - 18
5 <b>DRAFT RISK AND ASSURANCE STRATEGY</b> Maggie Gibb, Risk and Insurance Manager		
6 <b>2015/16 ANNUAL INTERNAL AUDIT STRATEGY</b>  Ian Dyson, Chief Internal Auditor.		To Follow
7 <b>EXTERNAL AUDITORS PLAN 2014/15</b> Dominic Bradley, Grant Thornton		19 - 34
8 <b>PENSION FUND AUDIT PLAN 2014/15</b> Ade O Oyerinde, Grant Thornton		35 - 52
9 <b>RISK MANAGEMENT GROUP UPDATE</b> Maggie Gibb, Risk and Insurance Manager		53 - 54



INVESTOR IN PEOPLE



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|-----------|--|----------------------|
| <b>10</b> | <b>2014/15 INTERNAL AUDIT PLAN PROGRESS REPORT</b><br><br>Ian Dyson, Chief Internal Auditor.   | <b>To<br/>Follow</b> |
| <b>11</b> | <b>FORWARD PLAN - STANDING ITEM</b>  | <b>55 - 58</b>       |
| <b>12</b> | <b>DATE AND TIME OF NEXT MEETING</b><br>10 June 2015 at 9am in Mezzanine Room 2.   |                      |
| <b>13</b> | <b>EXCLUSION OF THE PRESS AND PUBLIC</b><br>To resolve to exclude the press and public as the following item is exempt by virtue of Paragraph 3 of Part 1 of Schedule 12a of the Local Government Act 1972 because it contains information relating to the financial or business affairs of any particular person (including the authority holding that information) |                      |
| <b>14</b> | <b>2014/15 INTERNAL AUDIT PLAN PROGRESS REPORT</b><br><br>Ian Dyson, Chief Internal Auditor. To discuss confidential appendices.   | <b>To<br/>Follow</b> |
| <b>15</b> | <b>ADULTS SAFEGUARDING AUDIT UPDATE</b><br>Lee Fermandel and Ian Dyson will provide a verbal update.   |                      |
| <b>16</b> | <b>EXTERNAL AUDIT BRIEFING WITH MEMBERS</b><br>Closed session to look at good practice.  |                      |

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If you would like to attend a meeting, but need extra help to do so, for example because of a disability, please contact us as early as possible, so that we can try to put the right support in place.

*For further information please contact: Karen Jones on 01296 383627, email: [kljones@buckscc.gov.uk](mailto:kljones@buckscc.gov.uk)*

### **Members**

Mr T Butcher (VC)	Mr Z Mohammed (C)
Mr W Chapple OBE	Mr R Scott
Mr R Khan	Mr A Stevens
Mr D Martin	Mr W Whyte